

Attachment to
Page No 12

EXPRESS MAIL NO. EV170139843US

Sheet 1 of 2

FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.478C17	APPLICATION NO. 09/902,941
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANTS Robert A. Henderson et al.			
		FILING DATE July 10, 2001	GROUP ART UNIT 1637		

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA							

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
AB	EP 1033401 A2	09/06/00	EPO			
AC	EP 1026242 A1	08/09/00	EPO			
AD	WO 01/51628	07/19/01	WIPO			
AE	WO 01/42451	06/14/01	WIPO			
AF	WO 00/22130	04/20/00	WIPO			
AG	WO 00/21990	04/20/00	WIPO			

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AH		GenBank Database, Accession No. AF251237, August 23, 2000.			
	AI		GenBank Database, Accession No. AAG01401, August 23, 2000.			
	AJ		Genbank Database, Accession No. AJ290447, May 5, 2001.			
	AK		GenBank Database, Accession No. CAC38108, May 5, 2001.			
	AL		GenBank Database, Accession No. XM_010376, October 16, 2001			
	AM		GenBank Database, Accession No. XP_010376, October, 16, 2001			
✓	AN		Geneseq Database (Derwent), Accession No. AAH64751, September 11, 2001.			

EXAMINER

DATE CONSIDERED

5-20-03

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line thru each citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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Sheet 2 of 2

FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.478C17	APPLICATION NO. 09/902,941
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>		APPLICANTS Robert A. Henderson et al.			
		FILING DATE July 10, 2001	EXAMINER ART UNIT 1637		

U.S. PATENT DOCUMENTS

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	BA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
					YES NO
	BB				
	BC				
	BD				
	BE				
	BF				
	BG				

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

<i>✓</i>	BH		Geneseq Database (Derwent), Accession No. AAL13774, December 7, 2001.
<i>✓</i>	BI		Geneseq Database (Derwent), Accession No. AAL22641, December 7, 2001.
<i>✓</i>	BJ		Geneseq Database (Derwent), Accession No. AAS37109, December 17, 2001.
	BK		
	BL		
	BM		
	BN		

EXAMINER <i>✓</i>	DATE CONSIDERED <i>5-26-03</i>
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Tgor. No 9

Sheet 1 of 1

O I P E JUL 25 2002 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.478C17	APPLICATION NO. 09/902,941	RECEIVED JUL 29 2002 1600/290			
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANTS Robert A. Henderson et al.					
		FILING DATE July 10, 2001	GROUP ART UNIT 1636	TECH CENTER			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO	
yp	AE	WO 00/55375	09/21/00	WIPO			
yp	AF	WO 99/38973	08/05/99	WIPO			
	AG						
	AH						
	AI						
	AJ						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
yp	AK		EMBL Accession Number AA488696, July 1, 1997.				
	AL		EMBL Accession Number AW950090, June 7, 2000.				
	AM		EMBL Accession Number T63732.1, March 5, 1995.				
	AN		Hillier et al., "Generation and Analysis of 280,000 Human Expressed Sequence Tags," <i>Genome Research</i> 6:807-828, 1996.				
↓	AO		Zangemeister-Wittke and Stahel, "Novel approaches to the treatment of small-cell lung cancer," <i>CMLS, Cell. Mol. Life Sci.</i> 55(12):1585-1598, September 1999.				
EXAMINER				DATE CONSIDERED 5-20-03			
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Attachment
to Page No 3

FORM PTO-1449 (REV.7-80)	AUG 09 2001	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 210121.478C17	APPLICATION NO. 09/902,941
INFORMATION AND DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANTS	Robert A. Henderson et al.	
		FILING DATE July 10, 2001	GROUP ART UNIT	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AA</i>	AA	5,589,579	12/31/96	Torczynski et al.	536	23.1	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
<i>AC</i>	AB	WO 98/31799	07/23/98	WIPO		
<i>AC</i>	AC	WO 98/31799	07/23/98	WIPO (corrected version)		
<i>AD</i>	AD	WO 97/33993	09/18/97	WIPO		

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AA</i>	AE	Chen et al., "Isolation and characterization of a novel gene expressed in multiple cancers," <i>Oncogene</i> 12(4):741-751, February 15, 1996.
	AF	EMBL Database Accession No. AA948244, May 5, 1998.
	AG	EMBL Database Accession No. AA620697, October 16, 1997.
	AH	GenBank Accession No. X21973, May 18, 1999.
	AI	Güre et al., "Human lung cancer antigens recognized by autologous antibodies: definition of a novel cDNA derived from the tumor suppressor gene locus on chromosome 3p21.3," <i>Cancer Research</i> 58(1):1034-1041, March 1, 1998.
	AJ	Hara et al., "Characterization of cell phenotype by a novel cDNA library subtraction system: expression of CD8 alpha in a mast cell-derived interleukin-4-dependant cell line," <i>Blood</i> 84(1):189-199, July 1, 1994.
	AK	Liu et al., "XAGE-1, a new gene that is frequently expressed in Ewing's sarcoma," <i>Cancer Research</i> 60(17):4752-4755, September 1, 2000.
<i>AL</i>	AL	Schena et al., "Quantitative monitoring of gene expression patterns with a complementary DNA microarray," <i>Science</i> 270:467-470, October 20, 1995.

EXAMINER <i>AA</i>	DATE CONSIDERED <i>5-20-03</i>
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(REV.7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
210121.478C17APPLICATION NO.
09/902,941INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

APPLICANTS

Robert A. Henderson et al.

FILING DATE

July 10, 2001

GROUP ART UNIT

U.S. PATENT DOCUMENTS

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					YES	NO
	BB					
	BC					
	BD					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>✓</i>	BE		Wu and Noguchi, "Activation of globin gene expression by cDNAs from induced K562 cells," <i>Journal of Biological Chemistry</i> 266(26):17566-17572, September 15, 1991.
	BF		
	BG		
	BH		
	BI		
	BJ		
	BK		

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<i>your</i>	5-20-03

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